

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

John C. Harvey and James W. Cuddihy

Serial No. 08/447,496

Filed May 23, 1995

Group Art Unit 2602

Examiner

Atty Dkt: 5634.121

For SIGNAL PROCESSING APPARATUS AND METHODS

**REQUEST TO CORRECT FILING RECEIPT**

Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

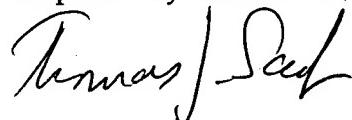
Applicants respectfully request that the Filing Receipt (a copy of which is submitted herewith) with respect to the above-identified application be corrected.

Applicants submit that the "Continuing Data as Claimed by Applicant(s)" is incorrect and should be amended as indicated below:

THIS APPLN IS A CON OF	08/113,329	08/30/93	
WHICH IS A CON OF	08/056,501	05/03/93	PAT 5,335,277
WHICH IS A CON OF	07/849,226	03/10/92	PAT 5,233,654
WHICH IS A CON OF	07/588,126	09/25/90	PAT 5,109,414
WHICH IS A CON OF	07/096,096	09/11/87	PAT 4,965,825
WHICH IS A CIP OF	06/829,531	02/14/86	PAT 4,704,725
WHICH IS A CON OF	06/317,510	11/03/81	PAT 4,694,490

The above serial numbers and filing dates are indicated on Applicants' Declaration, a copy of which is submitted herewith.

Respectfully submitted,



Thomas J. Scott, Jr.  
Registration No. 27,836  
Attorney for Applicants

Date: December 7, 1995

HOWREY & SIMON

1299 Pennsylvania Avenue, NW  
Washington, D.C. 20004

Tel: (202) 783-0800

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## FILING RECEIPT

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/447,496	05/23/95	2602	\$730.00	5634.121	22	1	1

HOWREY & SIMON  
1299 PENNSYLVANIA AVENUE N W  
WASHINGTON DC 20004

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

## Applicant(s)

JOHN C. HARVEY, NEW YORK, NY; JAMES W. CUDDIHY,  
NEW YORK, NY.

## CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF	08/113,329	08/30/93	PAT 5,335,277
WHICH IS A CON OF	08/056,501	05/03/93	PAT 5,233,654
WHICH IS A CON OF	07/849,226	03/10/92	PAT 5,109,414
WHICH IS A CON OF	07/588,126	09/25/90	PAT 4,965,825
WHICH IS A CON OF	07/096,096	09/11/87	PAT 4,704,725
WHICH IS A CIP OF	06/829,531	02/14/86	ABN
WHICH IS A CIP OF	06/317,519	11/02/81	

TITLE  
SIGNAL PROCESSING APPARATUS AND METHODS

PRELIMINARY CLASS: 348

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

John C. Harvey and James W. Cuddihy



Group Art Unit: 2602

Serial No. 08/447,496

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Filed May 23, 1995

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For **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**CHANGE TO SMALL ENTITY STATUS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The present application was accorded large entity status. Small entity status is desired for the present application.

Submitted herewith is the Small Entity Declaration filed with the parent application establishing small entity status.

**REMARKS**

The Commissioner is hereby authorized to charge Deposit Account No. 08-3038 any insufficient funds in connection with the filing of the instant papers. *This sheet is submitted in triplicate.*

Date: December 7, 1995  
**HOWREY & SIMON**  
1299 Pennsylvania Avenue, NW  
Washington, D.C. 20004  
Tel: (202) 783-0800

Respectfully submitted,  
Thomas J. Scott, Jr.  
Registration No. 27,836  
Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

John C. Harvey and James W. Cuddihy : Group Art Unit 2602

Serial No. 08/447,496 : Examiner

Filed May 13, 1995 : Atty Dkt: 5634.121

For SIGNAL PROCESSING APPARATUS  
AND METHODS



TRANSMITTAL

Assistant Commissioner of Patents  
Washington, D.C. 20231

- Supplemental Preliminary Amendment
- Request to Correct Filing Receipt
- Letter establishing Small Entity Status under 37 CFR 1.9 and 1.27
- The fee has been calculated as shown below:

	(Col 1)	(Col 2)	(Col 3)		
	Claims Remaining After Amendment	Highest No. Previously Paid for	Present Extra	Rate	Additional Fee
Total	* 16	Minus ** 20	= 0	x \$ 11	\$ .00
Indep.	* 5	Minus *** 3	= 2	x \$ 39	\$ 78.00
First Presentation of Mult. Dep. Claim				x \$ 125	\$ .00
Total Additional Filing Fee					\$ .00
<b>Total Fee Enclosed</b>					<b>\$ 78.00</b>

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" in this space is less than 3, write "3" in this space. "The Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- Howrey & Simon check no. 116162 in the amount of \$ 78.00 is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038. This sheet is submitted in triplicate.

- Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Thomas J. Scott, Jr.

Registration No. 27,836  
Attorney for Applicants

Date: December 7, 1995  
**HOWREY & SIMON**  
1299 Pennsylvania Avenue, NW  
Washington, D.C. 20004  
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